

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

Adjustment date: 02/16/2005 MNGUYEN
02/04/2005 EABUBAK1 00000047 10718995
02 FC:1051 -130.00 OP

02/16/2005 MNGUYEN 00000011 195425 10718995
01 FC:2051 65.00 OP
02 FC:2001 330.00 DA 65.00 OP
03 FC:2202 250.00 DA
04 FC:2201 100.00 DA

Adjustment date: 01/31/2006 EEKUBAY1
02/16/2005 MNGUYEN 00000011 195425 10718995
01 FC:2051 -65.00 OP
02 FC:2001 330.00 CR -65.00 OP
03 FC:2202 250.00 CR
04 FC:2201 100.00 CR

01/31/2006 EEKUBAY1 00000003 10718995
01 FC:8025 130.00 OP

PTO-1556

(5 87)

U.S. PATENT AND TRADEMARK OFFICE

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2005 DEC 27 PM 3:49

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant: S. Horniak et al. : Examiner: N/A
Application No: 10/718,996 : Group Art Unit: 3713
Filing Date: November 21, 2003 : Confirmation No.: 9084
Title: SYSTEM AND METHOD FOR : Attorney Docket No. P23,016-C USA
PROVIDING INCENTIVE TO
USER OF A GAMING DEVICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to : Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Refund Division Accounting - Office of Finance, on Monday, June 20, 2005.

Barbara G. Makariou
Barbara G. Makariou

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Attn: Refund Division
Accounting - Office of Finance

REQUEST FOR REFUND

Sir:

The PTO is believed to have improperly charged Deposit Account No. 19-5425 in the amounts of \$330.00, \$250.00 and \$100.00, for the filing fees, fees for claims in excess of 20, and fees for independent claims in excess of 3, for a total of \$680.00 in the above-identified application. The fees were charged on February 18, 2005. Applicants did not authorize payment of these filing fees.

As noted in the Statement of Record (copy enclosed) mailed to the PTO in this application on January 18, 2005, applicants requested a two-month extension of

Applicant: S. Horniak, et al
Application No.: 10/718,995

Attorney Docket No. P23,016-C USA
June 20, 2005
Page 2


time (\$225.00) along with the processing and retention fee (\$130.00) pursuant to 37 CFR 1.21(1), as required by 37 CFR 1.78(a)1(iv). Applicants filed the extension fee and retention fee to be able to file a continuation application that claimed priority to this application, which continuation application was assigned application serial number 11/037,379. It is the understanding of the undersigned that filing fees totaling \$680.00 were not necessary, and that the extension and retention fees pursuant to 37 CFR 1.21(e) were all that is necessary for filing the continuation application.

Accordingly, as the charges totaling \$680 should not have been made, please credit Deposit Account No. 19-5425 in the amount of \$680.00 for the filing fee.

Please contact the undersigned if any questions remain.

Respectfully submitted

Dated: June 20, 2005


Gary A. Hecht/ Reg. No. 36,826
Synnestvedt & Lechner LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
(215) 923-4466
(215) 923-2189

GAH:bgm
Enclosure

M:\GHECHT\DELTRONIC LABS\23016-C\REFUND REQUEST.DOC

Attorney Docket No. P-23,016-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2005 DEC 28 11 31 AM

US PATENT & TRADEMARK
OFFICE

In re application of: S. Horniak et al.

Group Art Unit: 3713

Application No.: 10/718,995

Examiner: N/A

Filing Date: November 21, 2003

Confirmation No.: 9084

For: SYSTEM AND METHOD FOR
PROVIDING INCENTIVE TO USER
OF A GAMING DEVICE

Customer No.: 23307

Attorney Docket No.:
P230126-C USA

Certificate of Mailing

I hereby certify that this correspondence, along with any papers indicated as being enclosed, are being deposited as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Tuesday, January 18, 2005 January 18, 2005.


Patricia M. Frisoli

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Statement Of Record

Applicants petition herewith for a two-month extension of time pursuant to 37 CFR 1.136(a) to permit the filing of a continuing application while the present application is still pending. Further, applicants are paying the processing and retention fee of 37 CFR 1.21(l), as required by 37 CFR 1.78(a)(1)(iv).

Should the Patent Office have any questions or comments concerning this submission, the Office is invited to telephone applicants undersigned representative.

-1-

Initial 1/18/05

COPY

Attorney Docket No. P-23,016-C

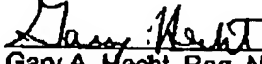
2005 DEC 28 PM 3:42

This filing should in no way be construed as intent on the applicant's part to
unduly delay the prosecution of the application.

US PATENT & TRADEMARK
OFFICE

Respectfully submitted;

January 18, 2005
Date


Gary A. Hecht, Reg. No. 36,826
SYNNESTVEDT & LECHNER LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
Tele: (215) 923-4466
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GAH:pmf

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PTO/SB/23 (12-04)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2005 (Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4610))		Docket Number (Optional) P-23016C USA	
Application Number 10/718,995		Filed 11/21/2003	
For SYSTEM AND METHOD FOR PROVIDING INCENTIVE TO USER OF A GAMING DEVICE			
Art Unit 3713		Examiner N/A	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

	Fee	Small Entity Fee	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$80	\$ _____
<input checked="" type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$ <u>225.00</u>
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$ _____
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$ _____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$ _____

☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check in the amount of the fee is enclosed.

☒ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 19-5425. I have enclosed a duplicate copy of this sheet.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 36,826

☐ attorney or agent under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34 _____

GARY A. HECHT Signature

January 18, 2005 Date

GARY A. HECHT Typed or printed name

215-923-4466 Telephone Number

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
-------------------------	------	--------

Complete if Known	
Application Number	10/718,995
Filing Date	11/21/2003
First Named Inventor	S. Horhiak
Examiner Name	N/A
Art Unit	3713
Attorney Docket No.	23018C USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: 19-5425 Deposit Account Name: Synnestvedt & Lechner LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-203a.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
Extra Claims		
Fee (\$)		
Fee Paid (\$)		
HP = Highest number of total claims paid for, if greater than 20.		
Indep. Claims		
Extra Claims		
Fee (\$)		
Fee Paid (\$)		
HP = Highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(a).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)	Fees Paid (\$)
Other (e.g., late filing surcharge): 37 CFR 1.21 (f)	
	130.00

SUBMITTED BY		
Signature	Registration No. 38,828	Telephone 215-923-4488
Name (Print/Type) Gary A. Hochl	(Attorney/Agent)	Date 01/18/2005

This collection of information is required by 37 CFR 1.130. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Credit Card Payment Form

Please Read Instructions before Completing this Form

7035 PTO FORM 3-50

US PATENT & TRADEMARK
OFFICE

Credit Card Information

Credit Card Type: ☐ Visa ☐ MasterCard ☒ American Express ☐ Discover

Credit Card Account #: 3782 950358 31114

Credit Card Expiration Date: 07/06

Name as it Appears on Credit Card: GARY A HECHT

Payment Amount: \$(US Dollars): 355.00

Cardholder Signature: *Gary Hecht* Date: January 18, 2005

Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of \$25.00 dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.
 Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).

Credit Card Billing Address

Street Address 1: 1101 Market Street

Street Address 2: STE 2600

City: Philadelphia

State/Province: PA

Zip/Postal Code: 19107

Country: USA

Daytime phone #: 215-923-4466

Fax #: 215-923-2189

Request and Payment Information

Description of Request and Payment Information:
 2-Month Extension of time Request (\$225.00) and Retention Fee (\$130.00)

<input checked="" type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No. 10/718,995	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No. P-23016C USA		Ideally or Describe Mark	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

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12/28/2005 15:11 FAX 2159232189

SYNNESTVEDT & LECHNER

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2005 DEC 27 PM 3:49

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant: S. Horniak et al.

Application No: 10/718,996

Filing Date: November 21, 2003

Title: SYSTEM AND METHOD FOR
PROVIDING INCENTIVE TO
USER OF A GAMING DEVICE

Examiner: N/A

Group Art Unit: 3713

Confirmation No.: 9084

Attorney Docket No. P23,016-C USA

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Refund Division Accounting - Office of Finance, on Monday, June 20, 2005.

Barbara G. Makariou
Barbara G. Makariou

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Attn: Refund Division
Accounting - Office of Finance

REQUEST FOR REFUND

Sir:

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Applicant: S. Horniak, et al
Application No.: 10/718,995

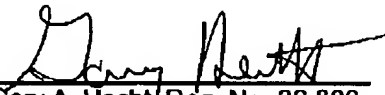
Attorney Docket No. P23,016-C USA
June 20, 2005
Page 2

time (\$225.00) along with the processing and retention fee (\$130.00) pursuant to 37 CFR 1.21(1), as required by 37 CFR 1.78(a)1(iv). Applicants filed the extension fee and retention fee to be able to file a continuation application that claimed priority to this application, which continuation application was assigned application serial number 11/037,379. It is the understanding of the undersigned that filing fees totaling \$680.00 were not necessary, and that the extension and retention fees pursuant to 37 CFR 1.21(e) were all that is necessary for filing the continuation application.

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Respectfully submitted

Dated: June 20, 2005


Gary A. Hecht / Reg. No. 36,826
Synnestvedt & Lechner LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
(215) 923-4466
(215) 923-2189

GAH:bgm
Enclosure

MYGHECHTDELTRONIC LABS23016-CREFUND REQUEST.DOC

FACSIMILE COVER SHEET

**LAW OFFICES OF
SYNNESTVEDT & LECHNER LLP**

2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
Telephone: (215) 923-4466
Facsimile: (215) 923-2189

2005 DEC 28 PM 3:49

US PATENT & TRADEMARK
OFFICE

PLEASE DELIVER THE FACSIMILE TRANSMITTED HERewith TO:

Name: U.S. Patent and Trademark Office
Attention: Refund Branch, Brenda

From: Gary A. Hecht, Reg. No. 36,826

Re: U.S. Patent Application No. 10/718,995, Request for Refund

S&L File No: P23,016-C USA

A total of 2 pages, including this cover sheet, will be transmitted.

Name of Operator: Rita C. Clancy

Date Sent: December 28, 2005

Facsimile number of recipient: 1-571-273-6500

Dear Brenda:

Please find attached a copy of the Request for Refund which was originally filed with the USPTO on June 20, 2005.

**THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE AND
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IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR PLEASE NOTIFY US BY
TELEPHONE IMMEDIATELY. TO DO SO, YOU MAY CALL US COLLECT.**

Inventor / TM. Appl. S. Horniak et al.
 Client Deltatronc Labs.

Appl. No. 10/718,995 Filing Date 11/21/2003
 Doc. No. P23,016C USA Atty. GAH/bgm

The Patent / Trademark Office is in receipt of the following:

- ☐ Affidavit/Declaration, 37 CFR
- ☐ Amendment, 37 CFR
- ☐ Amendment to allege use
- ☐ Appeal notice/appeal brief
- ☐ Appointment of domestic representative
- ☐ Assignment & cover sheet
- ☐ Cert. of correction request
- ☐ Cert. of Exp. Mailing, Date

No. 6-20-2005
☒ Cert. of mailing, Dated 6-20-2005

- ☐ Charge deposit account, in duplicate
- ☐ Check \$ _____ for _____
- ☐ Demand for PCT examination
- ☐ Extension of time petition _____ months
- ☐ Extension of time to file Statement of Use
- ☐ IDS (Information disclosure statement)

PTO Form 1449: # of pages enc. _____
 # of references enc. _____

- ☐ Issue fee transmittal & advance order
- ☐ Letter _____
- ☐ Maintenance fee transmittal
- ☐ Opposition notice (in duplicate)
- ☐ Patent Application

of pages _____ # of pages of claims _____
 # of sheets of drawings _____
 Declaration/Oath: _____ signed _____ unsigned
 Transmittal letter _____

Title of Invention / Mark

- ☐ PCT Application, transmittal, request & fee sheet
- ☐ Petition under 37 CFR
- ☐ Petition for cancellation
- ☐ Power of attorney
- ☐ Priority claim
- ☐ Renewal application
- ☐ Reply, 37 CFR
- ☐ Request for extension of time to file opposition notice (in triplicate)
- ☐ Section 8/Section 15 Affidavit/Declaration
- ☐ Specimens
- ☐ Statement of use
- ☐ Trademark/Service Mark Application

Declaration _____

Power of attorney _____

Drawing _____

Verified statement/Small entity _____

Withdrawal _____

Other _____

Request for Refund

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6-20-05 P23,016C USA
 Date Sent File No. + Geo Code Seq. No.
Request for Refund
 Document Sent

Due Date Yes _____ No X
 Response to Final Rejection?

GAH/bgm
 Atty.

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